

**CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8**

I hereby certify that this correspondence, pursuant to 37 C.F.R. §1.8, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, MAIL STOP AMENDMENT, PO Box 1450, Alexandria, VA 22313-1450, on:

DATE: 12-12-2005

BY: Julie A. Eslick

**4<sup>TH</sup> SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §§1.97-1.98**

**PATENT APPLICATION**

Applicant(s):	Yasuhiro OGATA, et al.	Docket No.:	29288.1400
Serial No.:	09/892,926	Filing Date:	June 26, 2001
Group Art Unit:	2616	Confirmation No.:	3852
TITLE:	AUDIO AND VIDEO RECORDING AND REPRODUCTION APPARATUS	Examiner:	Helen Shibru

Commissioner for Patents  
MAIL STOP AMENDMENT  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Honorable Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, the reference or references listed and identified on the attached PTO/SB08 based form are being submitted here for consideration by the Examiner.

The reference or references are being cited only in the interest of candor and without any admission that they constitute statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art.

As there is not an English translation for Taiwan Application No. 306115, a copy of which is attached to the Taiwanese Office Action enclosed, we have included a copy of the English abstract of Japanese Application No. 06-325940 (Publication No. 08-111823) to which the Taiwanese application is related. Also enclosed are U.S. Patent No. 5,754,258 and U.S. Publication No. 2003-0101452 which both claim priority to Japanese Application No. 06-325940.

Serial No.: 09/892,926  
Title: AUDIO AND VIDEO RECORDING  
AND REPRODUCTION APPARATUS

This Information Disclosure Statement (IDS) is being filed under 37 C.F.R. §1.97(b)(2) as each item of information contained in this disclosure is being submitted after to the issuance of a First Office Action on the merits, but before the issuance of a final office action under §1.113, a notice of allowance under §1.311, or any other action that would otherwise close prosecution in this matter, and the fee under §1.17(p) is enclosed as Snell & Wilmer Check No. 299848 in the amount of \$180.00.

If Applicant has overlooked any additional fees however, the Commissioner is hereby authorized to debit Deposit Account No. 19-2814 for the same. **A duplicate copy of this Information Disclosure Statement is enclosed for this purpose.**

If there are any questions concerning this IDS, the Examiner is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the United States Patent and Trademark Office is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 19-2814.

Respectfully submitted,

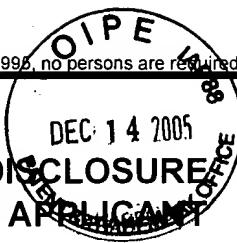
Date: 12-12-05

By S. Shah  
Shahpar Shahpar  
Reg. No. 45,875

Enclosures: PTO/SB08  
References

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**Substitute for form 1449A/PTO**

DEC 14 2005

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number 29288.1400

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTC		DEC 14 2005	Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<i>PRINT APPLICANT'S NAME</i>	Application Number	09/892,926
(use as many sheets as necessary)			Filing Date	June 26, 2001
			First Named Inventor	Yasuhiro OGATA, et al.
			Art Unit	2616
			Examiner Name	Helen Shibru
			Attorney Docket Number	29288.1400
Sheet	2	of	2	

#### **OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Signature \_\_\_\_\_ Date Considered \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*